

TAN No. : CHEG00709C  
PAN No. : AAACG1149F



GSTIN : 34AAACG1149F2Z2  
CIN No: L45400PY1994PLC008380

# GOTHI PLASCON (INDIA) LIMITED

Date: 08/06/2021

To  
Bombay Stock Exchange Limited  
Department of Corporate Services  
Floor 25, PJ Towers  
Dalal Street  
Mumbai-400 001

Dear Sir,

**Ref: Scrip Code: 531111**

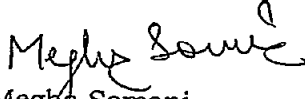
**Sub :Compliance under Regulation 24 A of the Securities Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

In terms of Regulation 24 A of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ,we enclose Secretarial Compliance Report issued by Mr. N.K Bhansali, Practising Company secretary for the financial year ended 31<sup>st</sup> March,2021.

Kindly take the information on record.

Thanking you,

Yours Sincerely,  
For Gothi Plascon (India) Limited

  
Megha Somani  
(Compliance Officer & Company Secretary)

REGD OFFICE : 17/5B,1A, Vazhudavur Road, Opp. Agriculture Research Center,  
Kurumbapet, Pondicherry - 605 009. India.

Ph : 0413 - 2271151, E-mail : plascon747@gmail.com www.gothiplascon.com

# N.K. BHANSALI & CO.

Crescent Court  
963/15, 3rd Floor  
Poonamalee High Road  
Chennai - 600 084. India

Tel/Fax : +91 44 4356 0004  
Mobile : +91 98400 84744  
info@csnkbhansali.com  
bhansalifcs@gmail.com



## Secretarial compliance report of **GOTHI PLASCON (INDIA) LIMITED** for the year ended 31/03/2021

I N.K Bhansali , Practicing Company Secretary , have examined:

- all the documents and records made available to us and explanation provided by **GOTHI PLASCON (INDIA) LIMITED** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31/03/2021 ("Review Period") in respect of compliance with the provisions of :

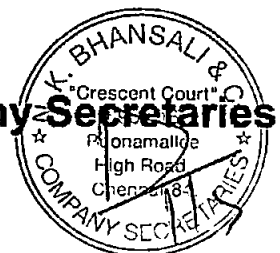
- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
  - Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
  - Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
  - Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
  - Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
  - Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
  - Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

**A Firm of Company Secretaries**



a) The Listed Entity has complied with the provisions of the Regulations mentioned under clause a) & h) and circulars/guidelines issued there under except

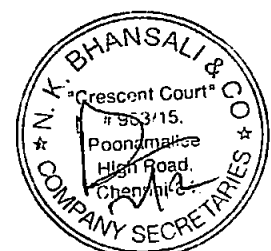
Sr. No	Compliance Requirement (Regulations/ Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 - The listed entity shall submit within 30 days from the date of publication of its standalone and consolidated financial results for the half year, disclosures of related party transactions on a consolidated basis, in the format specified in the relevant accounting standards for annual results to the stock exchanges and publish the same on its website.	The following deviations were pointed out by BSE; - The company had not submitted disclosures of related party transactions within 30 days	The Company has received a letter from Bombay Stock Exchange in respect to deficiency / non submissions as mentioned in deviations for the period ended 30/09/2020. Its informed that this occurred due to wide spread COVID issue and various lock down situation faced by everyone. The company had submitted the same with a detailed reply and complied with Regulation 23(9).

However In the absence of any transaction in regulations under clause b), c), d), e) ,f) & g) as mentioned above during the review period hence the compliance of the relevant regulations concerning these matters as herein above does not arise.

b)The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr.No	Action taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary, if any.
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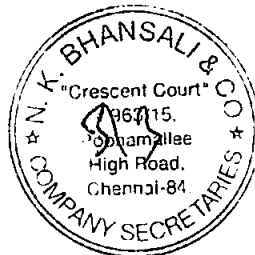
d)The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial compliance report for the year ended ... (the Years are to be mentioned)	Action taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
There were no observations in previous report				

(e) The Listed entity has complied with the provisions of SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019, in terms of Para 6(A) and 6(B) of the said circular and has suitably modified the terms of appointment of its Statutory Auditors.

Place : Chennai  
Date : 08/06/2021

FOR N K BHANSALI & CO.,  
Company Secretaries



*(Handwritten Signature)*  
(N K BHANSALI)

Proprietor  
PCS 2321 FCS 3942

UDIN: **F003942C000433888**

\*Disclaimer Note: During this challenging time of COVID 19 outbreak this report has been undertaken to the best of my capability based on of e verification of scans, soft copies , information, confirmations, records and documents made available to us by the management