



GOTHI PLASCON (INDIA) LIMITED

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015

I, Megha Somani, Company Secretary & Compliance Officer of Gothi Plascon (India) Limited ("Company"), have examined the following compliance requirement for the Company and certify that the Company has maintained a Structured Digital Database ("SDD") pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations"):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark				
1.	Whether the Company has a Structured Digital Database in place?	YES					
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	YES	SDD can be accessed only by the Company secretary <table border="1"><tr><td>Name</td><td>PAN</td></tr><tr><td>Megha Somani</td><td>AISPB6780C</td></tr></table>	Name	PAN	Megha Somani	AISPB6780C
Name	PAN						
Megha Somani	AISPB6780C						
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	YES	The UPSI is captured in the database maintained internally by the company.				
4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	YES	The standing instructions to connected persons is given about UPSI being shared with respect to financial results and dividend and at the time when any other UPSI event is arisen, the information is shared and informed in advance about the information being UPSI.				
5.	Whether nature of UPSI have been captured along with date and time?	YES					
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	YES	The UPSI is captured in the database maintained internally by the company.				
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	YES					
8.	Whether the database has been	YES					

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TAN No. : CHEG00709C * PAN No. : AAACG1149F * GSTIN : 34AAACG1149F2Z2 * CIN No: L45400PY1994PLC008380

	maintained internally?		
9.	Whether audit trail is maintained?	YES	
10.	Whether time stamping is maintained?	YES	
11.	Whether the database is non-tamperable?	YES	
12.	Any other measures to ensure non-tamperability of the Database?	YES	Software in this regard is installed to improve the process further.

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed: None

Further, I also confirm that the Company was required to capture 1 event during the quarter ended September 30, 2022 and has captured the above required event.

Thanking you,

Yours Sincerely,

For Gothi Plascon (India) Limited

MEGHA SOMANI
Digitally signed
by MEGHA
SOMANI
Date: 2022.10.20
13:21:45 +05'30'



Megha Somani
(Compliance Officer & Company Secretary)
(F12093)

Date: 20/10/2022

Place: Chennai